



#### 1. Introduction 1 of 2

For centuries and more, humans have tried to develop artificial means capable of serving them, or entertaining them, with various degrees of success. Initially realized with tools, techniques were added when language and writing systems have allowed. Only in recent decades could artificial cognitive agents be added to this series of resources.

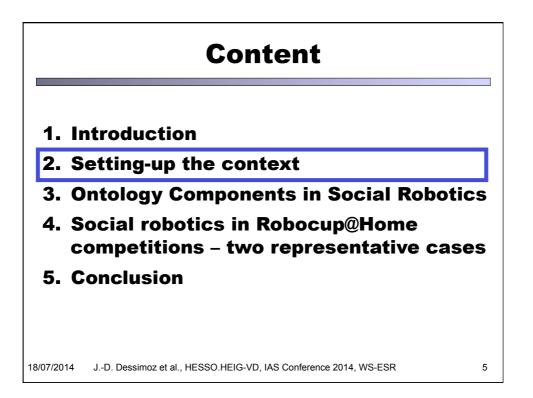
Cognition deserves attention in many ways. Of interest here are particularly the attempts to develop artificial cognitive systems; the study of evolutionary biology as a source of inspiration to better understand human cognitive properties; and formal definitions and metrics as means to quantitatively assess and compare cognitive properties.

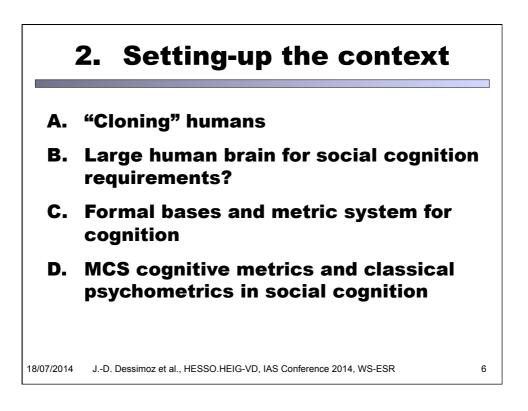
Bruno Siciliano [1] is a good example of authors who have underlined both the efforts to have an artificial system "cloning" humans, and also the endeavor of developing machines functionally useful to replace workers (e.g. Rapperswil).

 Ralph Adolphs [2], provides an interesting review on research done in connecting social cognition requirements and the developmental evolution of human brain.

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#### 2.A "Cloning" humans 1 de 2

In the quest for human clones, difficulties encountered are very different depending on the nature of equivalence sought, essential or functional.

In the first, essential sense, cloning is quite impossible as humans are really social animals, with important cultural features; even if ultimately at physical level bioengineering techniques for cloning would be somehow successful, which is still a challenge, the part of personality acquired through education, learning and experience, for decades, would still seem rather impossible to replicate at any significant level of relevance.

In the second, functional sense, chances are much better, and in fact the revolution is already well underway. For example today many electronic banking outlets allow customers to perform basic transactions on their own and the assistance of branch employees is no longer required (re. Automatic Teller Machines). The challenge we focus here is the implementation of automated cognition.

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2.A "Cloning" humans 2 de 2 In this effort, consider the analogy of technical transports: researchers and engineers did not really study the human legs to invent the wheel, trucks, trains, boats, jet planes or space shuttles. Similarly today the study and replication of the human brain may not be the necessary road to automated cognition and social robots. In the world of cognition, researchers have first focused of the concept of intelligence; and in this respect, notice that according to the most established definition, the one of Alan Turing, intelligence is recognized purely in behavioral terms, on the basis of information exchanges that do not imply any specific structure nor physical implementation. 18/07/2014 J.-D. Dessimoz et al., HESSO, HEIG-VD, IAS Conference 2014, WS-ESR 8

# 2.B Large human brain for social cognition requirements? 1 de 2

Whether or not social cognition requirements have been causal for the growing, evolutionary size of human brain, they remain critical today for the widespread use of social robots.

An important part of the research community conjectures that the ecological niche of humans, and in particular their nomad life style, has set high constraints on their survival condition. These constraints could only be met by a collective organization, a group, and therefore in turn they have set very challenging requirements on human individuals in terms of social cognition capabilities. The core idea is that such an evolution could not have happened in our species lifetime without an adequate, simultaneous development of human brain.

Dunbar is particularly representative of this conjecture [6]. His work has shown that group coordination implies a significant effort in mutual grooming of group members, which increases as a function of group size. He has notably established by comparison with nonhuman primates that the human brain should typically allow humans to keep stable social connections with up to 150 people.

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# 2.B Large human brain for social cognition requirements? 2 of 2

There are however also other views. Miller [7] has developed a conviction that the main drive for the development of human cognition has been the sexual choice to operate for appropriate gene selection, which remains well compatible with Darwin findings. Far from the theory of a necessary large brain, there is ample evidence that complex scenarios can develop for species survival without much development of cognition, as numerous cases of parasitic life cycles demonstrate [8]. And this sometimes includes induction of unwitting behavioral changes of host organisms. Flegr has reported on the case of intracellular, parasitic protozoans causing disease in animals, including humans, and in the latter case even affecting subtle cognitive capabilities as well as sexual selection of subsequent generations [9].

From an engineering perspective, the priority at this point is to identify critical requirements in social robots; and then of course the allocation of resources to meet them should follow for successful implementation.

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# 2.C Formal bases and metric system for cognition 1 of 6

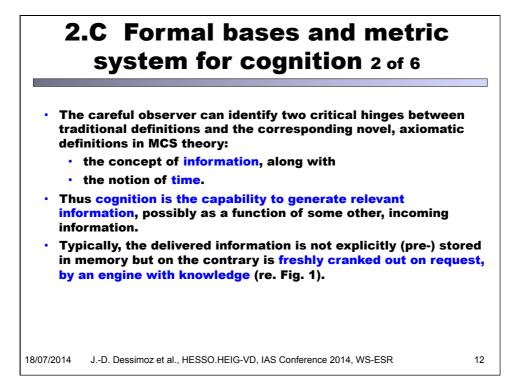
In cognition like for physical phenomena, the possibility to perform rigorous quantitative estimations is quite mandatory for fostering progress.

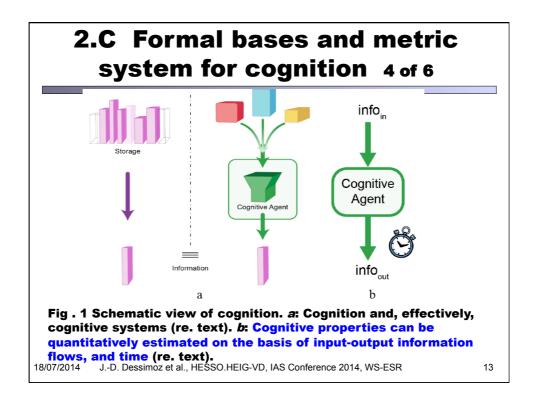
So far however this has usually been done in ad hoc ways, where the nature of the application field is deeply intertwined with the purely cognitive component.

For example in chess games, cognitive skill levels are expressed in Elo units; for tennis, the ATP (Association of Tennis Professionals) manages points in a similar way. In the case of Dunbar above, cognition levels are characterized in particular by kilograms of brain weight, and/or a number of connected group members. Specific formal bases and a metric system for cognition, generally applicable to humans as well as to artificial implementations have been proposed and successfully tested, in the context of the MCS

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(Model for Cognitive Systems) cognition theory [3].





### 2.C Formal bases and metric system for cognition 5 of 6

- As usual, information is typically measured here in "bit" units, and time in seconds.
- From values of this type, according to MCS theory for cognition,
  - knowledge quantities can be measured in "lin" units,
  - expertise in "lin/s",
  - experience in two alternate ways, seconds or bits, depending on the degree of sophistication selected for modeling.
  - Limiting the current review to the most central entities, the last property here to consider is intelligence, which is defined as the derivative of expertise with respect to experience.

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# 2.C Formal bases and metric system for cognition

In particular, knowledge, K, is a function of input and output information quantities,  $n_{in}$  and  $n_{out}$ :

 $K = \log_2 \left( n_{out} \cdot 2^{n_{in}} + 1 \right) \quad [\lim]$ 

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# 2.C Formal bases and metric system for cognition 6 of 6

Notice that defined as just presented, the field of cognition inherits not only the favorable aspects of the classical concept of information (theory, measuring units, etc.) but also the unavoidable, strong limitations associated with it: time dependence, subjectivity, and above all, the necessary, tight confinement in some kind of model (by nature, very abstract and simplified with respect to the corresponding *domain* of reality; yet usually effective for some selected goals). In MCS theory, the core concepts are typically defined in a

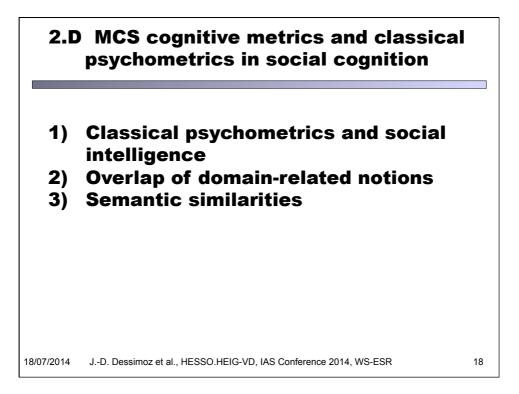
way compatible with the usual, general understanding. Nevertheless if the user wants to explicitly refer to the rigorous, axiomatic definitions provided in this ontology, it is proposed to add-up a "c" as a prefix; e.g. : c-speed, estimated in [1/s] units.

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# 2.C Formal bases and metric system for cognition 6b of 6

If the reader still doubts about the equal applicability of these MCS definitions both for humans and machines, consider as a supplementary argument the classical Turing test for intelligence: there also, it is essentially the flow of exchanged information that supports the judgment of experts; and time properties have there also an influence on appreciations.

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## 2.D.1 Classical psychometrics and social intelligence

Even though the place is short here, we cannot go without mentioning some valuable works made in reference to the social abilities of humans (e.g. classically with Thorndike, Guilford, O'Sullivan, or Gardner [10], or through their later influence in robot and computer-human interactions [11-14]).

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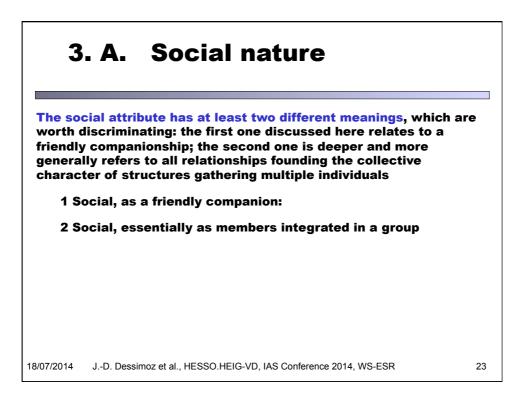
**2.D. 2 Overlap of domain-related notions** The first overlap between MCS and classical psychometrics relates to the domain of reality that is under consideration. From MCS perspective a domain is a domain. To say more about this domain relates not to MCS theory itself but rather to its contingent application. As an example, consider information, knowledge or expertise, like length or permanence: all these properties are defined and quantized in exactly the same way (re standard units: bit, lin, lin/s, meter or second), no matter whether they relate to tennis, to chess, to arithmetic calculus or yet to a particular social behavior. In this sense, there is a full compatibility.

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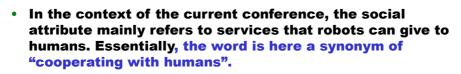
#### **2.D. 3 Semantic similarities**

The second overlap between MCS and classical psychometrics relates to core cognitive notions. If carefully tracked, the notions behind different apparent names and definitions may well often be the same. For example information may in one case be metric and in the other framework be only quantitative; a common difference in historical definitions may be expertise for MCS versus intelligence in psychometrics. The lack of rigorous definitions in classical psychometrics may well explain why IQ (re. cognition) sometimes is used as estimate for social expertise (a domain-related property) in classical studies. In MCS, the metrics provided for abstraction and concretization could quite directly apply to the notions of cognitive convergence and divergence, which are classically estimated in a rather qualitative manner and could thus be conveniently measured. 7/2014 J.-D. Dessimoz et al., HESSO.HEIG-VD, IAS Conference 2014, WS-ESR 18/07/2014 21

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# 3. A.1 Social nature - as a friendly companion



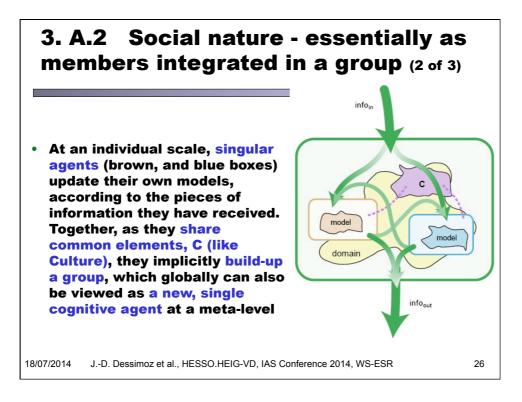
- In this sense there should be a priority in focusing on elements referring to human-robot interaction, or as sometimes also considered, human-agent interaction.
- This definition is more restrictive than the fundamental definition given next, and therefore it is worth addressing the latter in more details.

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- Fundamentally the social character of an agent essentially denotes its (successful) integration in a collective structure, a group.
- In this sense, for an individual (human, animal, robot or another cognitive agent) to be social, somehow there must be a certain number of other individuals and some connection between them. This is sufficient to define a "c-group" (re. Fig.).
- Notice that according to the fundamental definition, the requirements to be social include an adherence with the specific culture of the group; ultimately, such an attitude deserves the "friendly companion" attribute discussed above, in paragraph A, or "associate" as defined below.

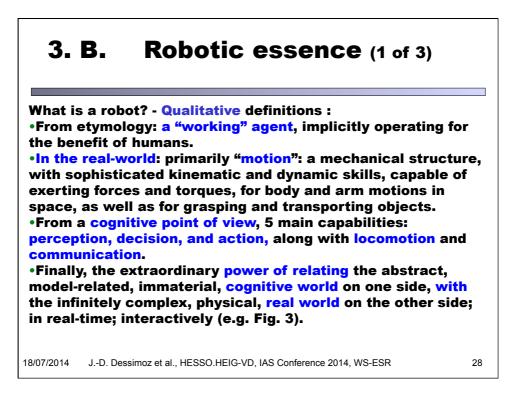
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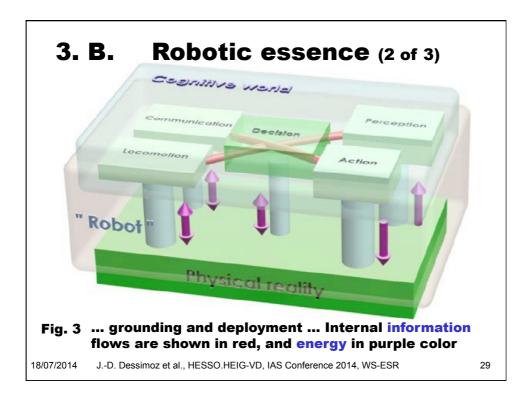


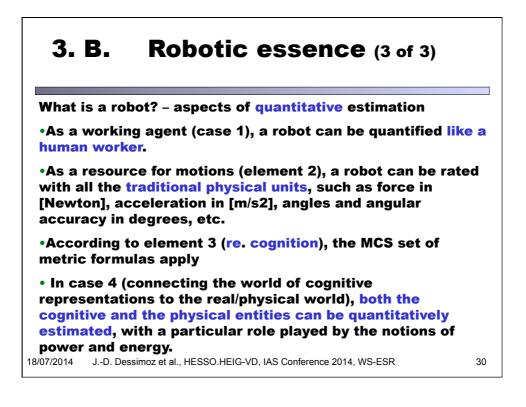
## **3. A.2** Social nature - essentially as members integrated in a group (3 of 3)

• In terms of quantitative evaluation, all the entities and metric formulas defined for a cognitive system (knowledge, expertise, learning, intelligence, etc.) are directly applicable for the social aspects as well. The *social* attribute relates not essentially to the core cognitive faculties but rather to the application *domain* only. Thus in any social domain considered (e.g. politeness in greeting or kindness in giving the way) evaluation must be done, like for any other cognitive domain, of the amounts of information conveyed, both in the input and correct output flows of the Cognitive Agent, as the latter operates.

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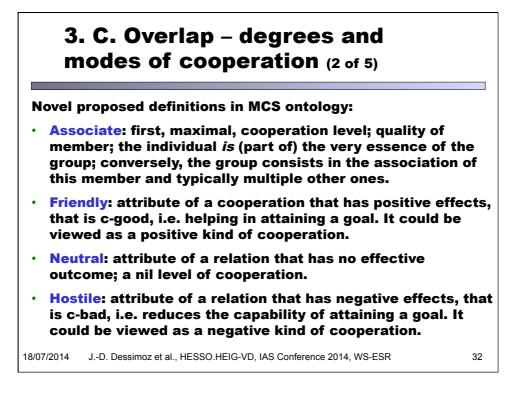


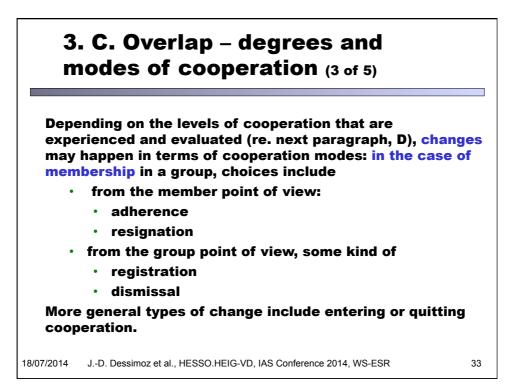
# 3. C. Overlap – degrees and modes of cooperation (1 of 5)

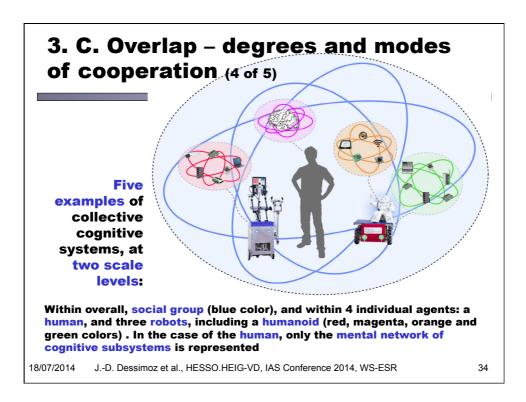
- Social robotics, in the sense of current conference, raise novel key questions, in multi-level group cooperation
- 4 degrees of mutual cooperation: associate, friendly, neutral, hostile
- Decisions to change such degrees of cooperation
- Examples of multiple collective cognitive systems, at multiple scale levels
- Analogy between human brains and typical groups

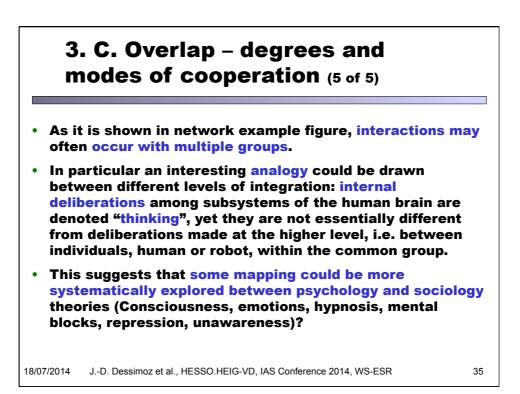
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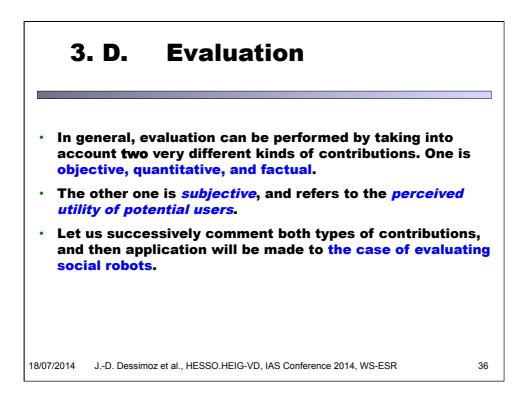


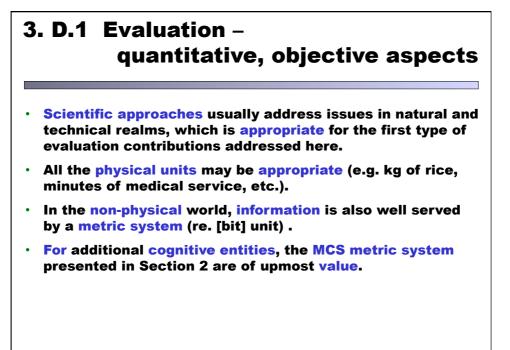




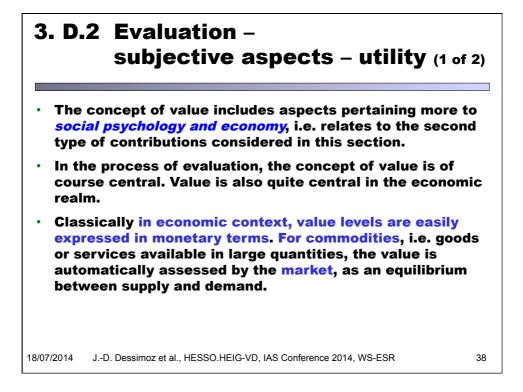


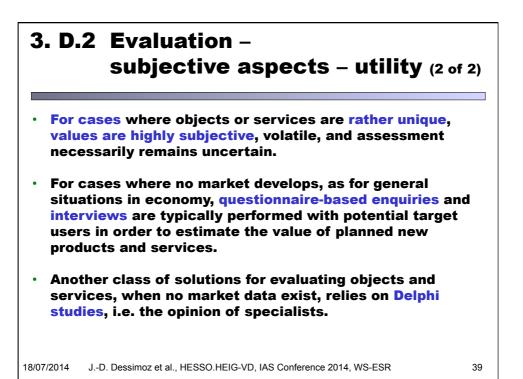




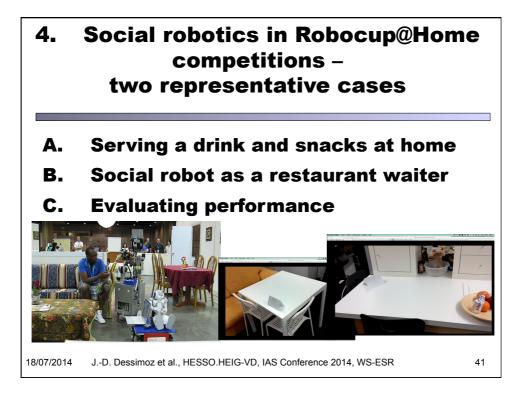


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#### 3. D.3 Evaluation social robots In the case of evaluating social robots, the same principles apply: More quantities mean more value; · More common objects or services (i.e. commodities) mean a better-established value by the market. In the realm of cognition though, complexity levels are generally so high that heterogeneity is more the rule, and therefore the subjective components tend to play a large role. Consider the extreme case of art: uniqueness often • applies, which translates into very high uncertainty on value levels. 18/07/2014 J.-D. Dessimoz et al., HESSO, HEIG-VD, IAS Conference 2014, WS-ESR 40



#### 4.A. Serving a drink and snacks at home

For Robocup@Home world competition in Singapore, our RG-Y group of robots was in particular engaged in a test where some social robotics capabilities could be successfully performed and rated.

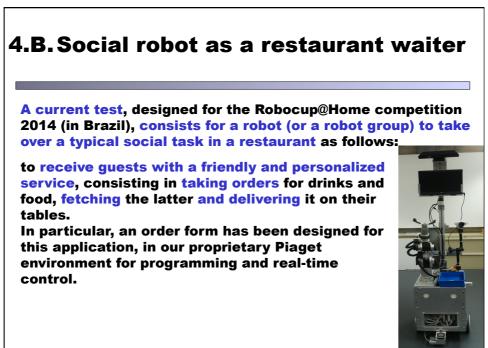
The main robot for grasping and transporting objects was RH-Y, complemented with a second arm, of Katana type; the humanoid platform NAO, of Aldebaran Robotics, was integrated in the group for its good capability of mediating between humans and other machines; finally, the robotic platform OP-Y could ensure reliable and safe motions of NAO at home, moving over carpets or passing doorsteps.



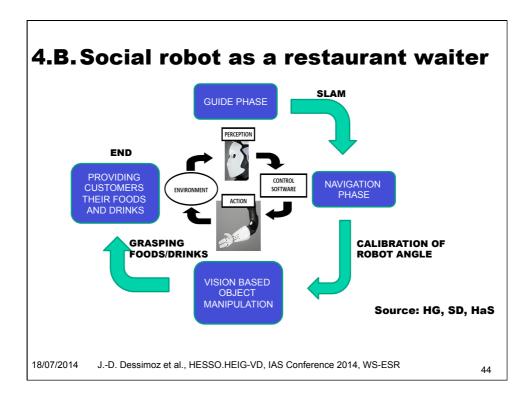
(A similar application has also been made in our lab, where essentially affiliation logos of internship students had replaced the original food and drink elements).

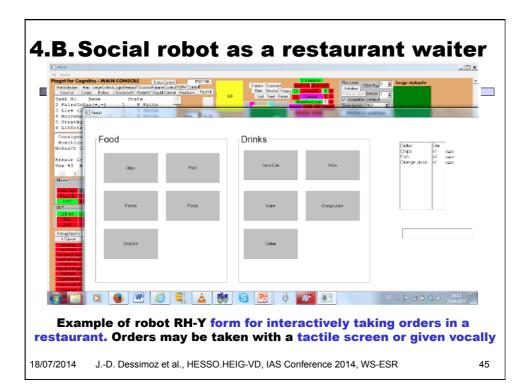
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## 4. C. Evaluating performance

As shown in Section III.D above, evaluation includes objective, as well as subjective elements. Let us practice here an evaluation for both types of contributions.

In the first case, a quantitative estimation of knowledge and expertise is performed for the case presented above of a robot at home. In the second case, subjective elements will be shown for the case of the robot performing as a restaurant waiter.

4c1 Robots serving "at home" & quantitative estimation . 4c2 Robot as a restaurant waiter & subjective elements.

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# *4c1 Robots serving "at home" &* quantitative estimation

Consider for this case some selected, representative cognitive capabilities, the phase of coordination between RH-Y and OP-Y. As a general rule, and according to the current best practices in computer programming, we typically focus as narrowly as possible on the requirements of the rulebook. The general start signal is given by a physical button (1 bit). The coordination signal between robots is conceptually exchanged by Wi-Fi. In practice this can also be done with a distance sensor. Considering that 1 bit of information is extracted in a minimal model - fixed angle, fixed distance threshold, on the basis of 784 x 12 bit of incoming information at sensor level, the perceptive knowledge is therefore here of about 9'408 [lin]. And with a reaction time of 0.1 s, the amount of expertise is about 94'000 [lin/ s].

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## 4c2 *Robot as a restaurant waiter &* subjective elements (1 of 7)

- In the second example, evaluation also does include both objective, and subjective elements. Let us though focus here on the latter type.
- It appears that evaluation is here very much performed according to the Delphi principle mentioned above.
- For ranking purpose in the competition, the technical committee, like for chess or tennis for example, have established an ad hoc score sheet.



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The maximum time for this test is 10 minutes.	
Action	Score
Guide Phase	
Reaching a <i>location</i> in the guide phase	$5 \times 50$
Navigation Phase	
Reaching a (task-relevant) $\mathit{location}$ in the manipulation phase	$4 \times 100$
Grasping the correct objects	
Successfully grasping a correct object from a shelf	$3 \times 250$
If object was grasped from a low or high shelf	$3 \times 200$
Delivering the correct objects	
Successfully delivering the correct object to the correct location	$3 \times 200$
Special penalties & bonuses	
Not attending (see sec. 3.7.1)	-500
Outstanding performance (see sec. 3.7.3)	260
Total score (excluding penalties and bonuses)	2600

#### 4c2 Robot as a restaurant waiter & subjective elements (2 of 7)

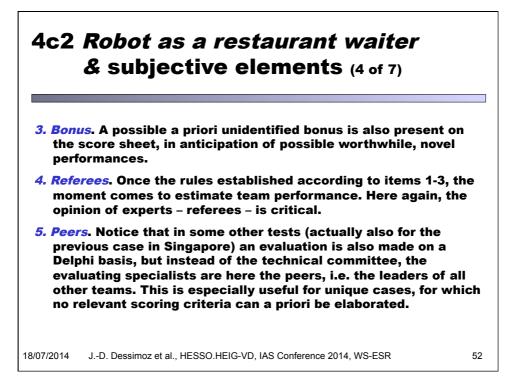
#### **Overview** •As can be seen in the table, a quantitative value (here denoted "score") is allotted to about 10 different elements. •The subjective opinion of experts is taken into account here schematically in five ways •This paper has a kind of constructivist approach: foundations are first set into place. Then, it is worth mentioning that other works have been done and develop. •Notice that the same metric approach illustrated above could also be concretely applied to other tests of Robocup@Home, and classical situations in human psychometrics. •The most serious practical limits for deployment of artificial cognitive agents: re. shared experience. 18/07/2014 J.-D. Dessimoz et al., HESSO.HEIG-VD, IAS Conference 2014, WS-ESR 50

#### 4c2 Robot as a restaurant waiter & subjective elements (3 of 7)

The subjective opinion of experts is taken into account here schematically in 5 ways:

- **1 Test definition.** The very first step is the definition of tests. The idea is to have the most representative tasks for a robot helpful in domestic domain, in a progressive way. This is already de facto a certificate of value.
- 2 Score definition. A second step in the elaboration of the rulebook is the definition of scores. The purpose of stating an explicit value is here as much in order to steer efforts towards priority R&D goals as to recognize possible a posteriori success.

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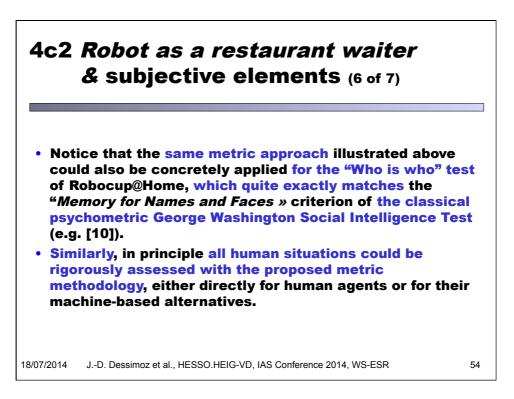
#### 4c2 Robot as a restaurant waiter & subjective elements (5 of 7)

Now for readers who are not familiar with the field, it may be worth mentioning that other works have been done, where *domains* more typical of humans are also considered by robots:

have therefore for example a glance to the case of robots and emotions (e.g. [17] and references in it).

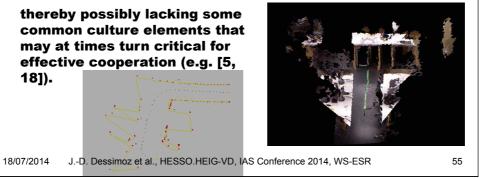


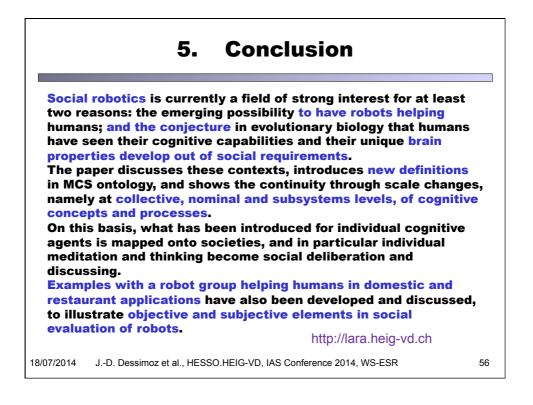
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#### 4c2 Robot as a restaurant waiter & subjective elements (7 of 7)

In practice however, the most serious limits for deployment of artificial cognitive agents may stem from the fact that they do not share a similar experience of life (eating, going to school, reading newspaper, riding a bicycle, etc.)





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